

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE
(REV. 2-2005)

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

03100262AA

U.S. APP. 10/559375 See 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.
PCT/EP2004/005996

INTERNATIONAL FILING DATE
3 JUNE 2004

PRIORITY DATE CLAIMED
4 JUNE 2003

TITLE OF INVENTION

THERAPEUTICAL COMPOSITION CONTAINING DENTRITIC CELLS AND USE THEREOF

APPLICANT(S) FOR DO/EO/US

Peter F. Muhlradt; Armin Braun; Norbert Krug; Henning Weigt

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☐ Express Mail Label No.
23. ☒ Other items or information:

PCT/RO/105; PCT/IPEA/237; PCT/ISA/210; PCT/IB/301;

Under the Paper

U.S. APPL

10/15/2005 02 DEC 2005

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The following fees are submitted:

24. ☒ Basic national fee \$300

CALCULATIONS

PTO USE

\$300.00

25. ☒ Examination fee

If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4).....

\$100

\$200.00

All other situations.....

\$200

26. ☒ Search fee

Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....

\$100

International Search Report prepared and provided to the Office.....

\$400

All other situations.....

\$500

\$400.00

TOTAL OF 24, 25 and 26 =

\$900.00

☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.

Total Sheets

Extra Sheets

Number of each additional 50 or fraction thereof (round up to a whole)

RATE

32

- 100 =

10

/50 =

0

x

\$250.00

\$

\$0.00

Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

\$130.00

CLAIMS

NUMBER FILED

NUMBER EXTRA

RATE

Total claims

24

- 20 =

4

x

\$50.00

\$

\$200.00

Independent claims

5

- 3 =

2

x

\$200.00

\$

\$400.00

MULTIPLE DEPENDENT CLAIMS (if applicable) ☐

+

\$360.00

\$

\$0.00

TOTAL OF ABOVE CALCULATIONS =

\$

\$1,630.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

\$

\$0.00

SUBTOTAL =

\$

\$1,630.00

Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

\$0.00

TOTAL NATIONAL FEE =

\$

\$1,630.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +

\$

\$0.00

TOTAL FEES ENCLOSED =

\$

\$1,630.00

Amount to be

\$

Amount to be

\$

a. ☒ A check in the amount of \$ 1,630.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2041. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Michael E. Whitham

Reg. No. 32,635

Whitham, Curtis & Christofferson, PC

11491 Sunset Hills Road, Suite 340

Reston, Virginia 20190

703-787-9400

SIGNATURE

Michael E. Whitham

NAME

32,635

REGISTRATION NUMBER